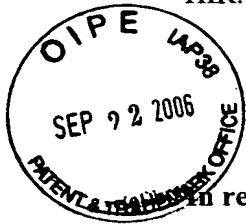


1/28/11

Serial No. 10/539,267  
Docket No. PTGF-03105US  
HIR.156



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of

Gundula ROTH, et al.

**Serial No.:** 10/539,267

**Group Art Unit:** 2811

**Filing Date:** June 16, 2005

**Examiner:** Allyson Purnell

**For:** LUMINOUS BODY AND OPTICAL DEVICE USING THE SAME

Honorable Commissioner for Patents  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Under the provisions of 37 CFR §1.97 through §1.98 and pursuant to applicants' duty of disclosure under 37 CFR §1.56, applicants respectfully bring the following documents, cited in Japanese Notice of Rejection Reasons dated July 4, 2006, in a counterpart foreign application and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicants are aware.

In compliance with the concise explanation requirement under 37 CFR §1.98(a)(3) for foreign language documents, Applicants enclose herewith a copy of a corresponding Japanese Notice of Rejection Reasons citing such documents, together with an English-language version (if not already included) of that portion of the Japanese Notice of Rejection Reasons indicating the degree of relevance found by the foreign office.

I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of that item by a foreign patent office in a counterpart foreign application, which occurred not more than three months prior to the filing of this statement.

Serial No. 10/539,267  
Docket No. PTGF-03105US  
HIR.156

It is respectfully requested that the listed references be considered by the Examiner  
and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's  
Deposit Account No. 50-0481.

Respectfully submitted,



Sean M. McGinn, Esq.  
Registration No.: 34,386

Date: 9/22/06  
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8321 Old Courthouse Road, Suite 200  
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**Customer No. 21254**

INFORMATION DISCLOSURE C

(Use several sheets if necessary)

SEP 9 2 2006  
MPEP 14938  
PATENT TRADEMARK UNIT

Docket Number (Optional)  
PTGF-03105US

Application Number  
10/539,267

Applicant(s)  
Gundula ROTH, et al.

Filing Date  
June 16, 2005

Group Art Unit  
2911

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		10-112557	04/28/1998	Japan	previously submitted with	IOS dated 6/16/05		
		9-194833	07/29/1997	Japan	previously submitted with	IOS dated 6/16/05		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Japanese Notice of Rejection Reasons dated July 4, 2006 with English Translation

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.